



## Appeal Policy

### Definitions

- a) **Affected Party** – any individual or entity, as determined by the Appeal Manager, who may be affected by a decision rendered under the *Appeal Policy* and who may have recourse to an appeal in their own right.
- b) **Appeal Manager** – an individual, who may be any staff member, committee member, volunteer, director, or an Independent Third Party, who is appointed to oversee the *Appeal Policy*. The Appeal Manager will have responsibilities that include using decision making authority empowered by the *Appeal Policy*.
- c) **Appellant** – the party appealing a decision
- d) **Days** – calendar days<sup>1</sup>
- e) **Organizational Participant(s)** – refers to all categories of individual members and/or registrants defined in the by-laws of OBA who are subject to the policies, rules and regulations of OBA, as well as all persons employed by, contracted by, or engaged in activities with, OBA including, but not limited to, employees, contractors, Athletes, coaches, instructors, officials, volunteers, judges, Athlete Support Personnel, managers, administrators, parents or guardians, honorary members, spectators, committee members, or directors and officers
- f) **Party or Parties** – the individual(s) or organization(s) involved in a dispute
- g) **Respondent** – the Party responding to the complaint

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<sup>1</sup> For the purpose of calculating deadlines, the following shall apply: the day of the act is not included in the calculation (i.e., the date of receipt of a decision is not Day 1); instead, the deadline would start on the day following receipt of the decision and would expire at midnight (in the location of the individual seeking to file an appeal) on the last day of the period. If the end date is a Saturday, a Sunday or a legal holiday, the period runs until the next day that is not a Saturday, a Sunday or a legal holiday. For example, if an individual receives a decision on Thursday December 17, 2020, the 14-day deadline to appeal this decision starts on Friday December 18, 2020 and would expire on Friday January 1, 2021. However, since January 1, 2021, is a legal holiday, January 2, 2021 is a Saturday, and January 3, 2021 is a Sunday, the deadline to appeal would expire at midnight (in the location of the individual seeking to file an appeal) on January 4, 2021.



## Purpose

1. This *Appeal Policy* provides Organizational Participants with a fair and expedient appeal process.

## Scope and Application of this Policy

2. This policy applies to all Organizational Participants.
3. Any Organizational Participant who is directly affected by a decision made by OBA or an External Discipline Panel, as the case may be, shall have the right to appeal that decision if there are sufficient grounds for the appeal under the **Grounds for Appeal** section of this policy.
4. This policy **will apply** to decisions relating to:
  - a) eligibility;
  - b) selection;
  - c) conflict of interest;
  - d) discipline; and
  - e) membership.
5. This policy **will not apply** to decisions relating to:
  - a) employment;
  - b) infractions for doping offenses;
  - c) the rules of the sport;
  - d) selection criteria, quotas, policies, and procedures established by entities other than OBA;
  - e) substance, content and establishment of team selection or carding criteria;
  - f) volunteer/coach appointments and the withdrawal or termination of those appointments;
  - g) budgeting and budget implementation;



- h) the OBA's operational structure and committee appointments;
- i) decisions or discipline arising within the business, activities, or events organized by entities other than OBA (appeals of these decisions shall be dealt with pursuant to the policies of those other entities unless requested and accepted by OBA at its sole discretion);
- j) commercial matters for which another appeals process exists under a contract or applicable law; or
- k) decisions made under this policy.

### **Timing of Appeal**

6. Organizational Participants who wish to appeal a decision have seven (7) days from the date on which they received notice of the decision to submit the following in writing to OBA:
  - a) notice of the intention to appeal;
  - b) their contact information;
  - c) name and contact information of the Respondent and any Affected Parties, when known to the Appellant;
  - d) date the Appellant was advised of the decision being appealed;
  - e) a copy of the decision being appealed, or description of decision if written document is not available;
  - f) grounds for the appeal;
  - g) detailed reasons for the appeal;
  - h) all evidence that supports these grounds;
  - i) requested remedy or remedies; and
  - j) an administration fee of two hundred dollars (\$200), which will be refunded if the appeal is upheld.
  
7. An Organizational Participant who wishes to initiate an appeal beyond the seven (7) day period must provide a written request stating the reasons for an exemption. The decision



to allow, or not allow an appeal outside of the seven (7) day period will be at the sole discretion of the Appeal Manager and may not be appealed.

### **Grounds for Appeal**

8. A decision cannot be appealed on its merits alone. An appeal may only be heard if there are sufficient grounds for appeal. Sufficient grounds include the Respondent:
  - a) made a decision that it did not have the authority or jurisdiction (as set out in the applicable governing documents) to make;
  - b) failed to follow its own procedures (as set out in the applicable governing documents);
  - c) made a decision that was influenced by bias (where bias is defined as a lack of neutrality to such an extent that the decision-maker appears not to have considered other views); or
  - d) made a decision that was unreasonable.

### **Screening of Appeal**

9. The parties may first attempt to resolve the appeal through the *Alternative Dispute Resolution Policy*, if appropriate in the circumstances.
10. Appeals resolved under the *Alternative Dispute Resolution Policy* will result in the administration fee being refunded to the Appellant.
11. Should the appeal not be resolved by using the *Alternative Dispute Resolution Policy*, OBA will appoint an independent Appeal Manager (who must not be in a conflict of interest or have any direct relationship with the Parties) who has the following responsibilities:
  - a) to determine if the appeal falls under the scope of this policy;
  - b) to determine if the appeal was submitted in a timely manner; and
  - c) to decide whether there are sufficient grounds for the appeal.
12. If the Appeal Manager denies the appeal because of insufficient grounds because it was not submitted in a timely manner, or because it did not fall under the scope of this policy, the Appellant will be notified, in writing, of the reasons for this decision. This decision may not be appealed.



13. If the Appeal Manager is satisfied there are sufficient grounds for an appeal, the Appeal Manager will appoint an Appeal Panel which shall consist of a single Arbitrator, to hear the appeal.
14. In extraordinary circumstances, and at the discretion of the Appeal Manager, an Appeal Panel composed of three persons may be appointed to hear the appeal. In this event, the Appeal Manager will appoint one of the Panel's members to serve as the Chair.

#### **Determination of Affected Parties**

15. To confirm the identification of any Affected Parties, the Appeal Manager will engage OBA. The Appeal Manager may determine whether a Party is an Affected Party in their sole discretion.

#### **Procedure for Appeal Hearing**

16. The Appeal Manager shall notify the Parties that the appeal will be heard. The Appeal Manager shall then decide the format under which the appeal will be heard. This decision is at the sole discretion of the Appeal Manager and may not be appealed.
17. If a Party chooses not to participate in the hearing, the hearing will proceed.
18. The format of the hearing may involve an oral in-person hearing, an oral hearing by telephone or other electronic means, a hearing based on a review of documentary evidence submitted in advance of the hearing, or a combination of these methods. The hearing will be governed by the procedures that the Appeal Manager and the Appeal Panel deem appropriate in the circumstances. The following guidelines will apply to the hearing:
  - a) The hearing will be held within a timeline determined by the Appeal Manager
  - b) The Parties will be given reasonable notice of the day, time, and place of an oral, in-person hearing or oral hearing by telephone or electronic communications.
  - c) Copies of any written documents which any of the Parties wish to have the Panel consider will be provided to all Parties in advance of the hearing.
  - d) The Parties may be accompanied by a representative, advisor, or legal counsel at their own expense.
  - e) The Appeal Panel may request that any other individual participate and give evidence at an oral in-person hearing or oral hearing by telephone or electronic communications.



- f) The Appeal Panel may allow as evidence at the hearing any oral evidence and document or thing relevant to the subject matter of the appeal but may exclude such evidence that is unduly repetitious and shall place such weight on the evidence as it deems appropriate.
- g) If a decision in the appeal may affect another Party to the extent that the other Party would have recourse to an appeal in their own right under this policy, that Party will become an Affected Party to the appeal in question and will be bound by its outcome.
- h) The decision to uphold or reject the appeal will be by a majority vote of Appeal Panel members.

19. In fulfilling its duties, the Appeal Panel may obtain independent advice.

#### **Appeal Decision**

- 20. The Appellant must demonstrate, on a balance of probabilities, that the Respondent has made a procedural error as described in the Grounds for Appeal section of this policy and that this error had a material effect on the decision or decision-maker.
- 21. The Appeal Panel shall issue its decision, in writing and with reasons, within seven (7) days after the hearing's conclusion. In making its decision, the Appeal Panel will have no greater authority than that of the original decision-maker. The Panel may decide to:
  - a) reject the appeal and confirm the decision being appealed;
  - b) uphold the appeal and refer the matter back to the initial decision-maker for a new decision; or
  - c) uphold the appeal and vary the decision.
- 22. The Appeal Panel will also determine whether costs of the appeal will be assessed against any Party. In assessing costs, the Appeal Panel will consider the outcome of the appeal, the conduct of the parties, and the parties' respective financial resources.
- 23. The Appeal Panel's written decision, with reasons, will be distributed to all Parties, the Appeal Manager, and OBA. In extraordinary circumstances, the Appeal Panel may first issue a verbal or summary decision soon after the hearing's conclusion, with the full written decision to be issued thereafter. The decision will be considered a matter of public record and published according to the Publication Guidelines unless decided otherwise by the Appeal Panel.



### **Timelines**

24. If the circumstances of the appeal are such that adhering to the timelines outlined by this policy will not allow a timely resolution to the appeal, the Appeal Manager and/or Appeal Panel may direct that these timelines be revised.

### **Confidentiality**

25. The appeals process is confidential and involves only the parties, the OBA the Appeal Manager, the Appeal Panel, and any independent advisors to the Panel. Once initiated and until a decision is released, none of the Parties (or their representatives or witnesses) will disclose confidential information relating to the appeal to any person not involved in the proceedings, unless OBA is required to notify an organization such as an international federation, Sport Canada or other sport organization (i.e., where a Provisional Suspension or interim measures have been imposed and communication is required to ensure that they may be enforced), or notification is otherwise required by law
26. None of the Parties (or their representatives or witnesses) or organizations referred to in Section 26 will disclose confidential information relating to the appeal to any person not involved in the proceedings, unless OBA is required to notify an organization such as an international federation, Canada Basketball or other sport organization (i.e., where a Provisional Suspension or interim measures have been imposed and communication is required to ensure that they may be enforced), or notification is otherwise required by law.
27. Any failure to respect the confidentiality requirement may result in further sanctions or discipline by the Appeal Panel.

### **Final and Binding**

28. No action or legal proceeding will be commenced against OBA or Organizational Participants in respect of a dispute, unless OBA has refused or failed to provide or abide by the dispute resolution process and/or appeal process as set out in governing documents.